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MAR - 8 2002

Application of: Kensil, Charlotte

Application No.: 09/760,506

Art Unit: 1636

Filed: January 12, 2001

Examiner: Sita S. Pappu

TECH CENTER 1600/2900

For: INNATE IMMUNITY-STIMULATING
COMPOSITIONS OF CPG AND SAPONIN AND
METHODS THEREOF

Atty Docket No.: 8449-153-999

New York, NY
February 26, 2002



PRELIMINARY AMENDMENT UNDER 37 C.F.R. § 1.111

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Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the communication from the Examiner and a Notice to Comply with Requirements for Patent Applications mailed February 8, 2002, Applicant submits herewith the following amendments and the remarks below. Accompanying this Preliminary Amendment is: 1) Exhibit A, a marked-up version of the replacement paragraphs of the specification; 2) Exhibit B, a marked-up version of the amended claims; 3) Exhibit C, a list of pending claims upon entry of the present amendments; 4) a Transmittal of Sequence Listing with a Sequence Listing in paper and computer-readable format; and 5) a copy of the Notice to Comply with Requirements for Patent Application.

IN THE SPECIFICATION:

A marked-up version of the following amended paragraphs are attached as Exhibit A.

Please amend the Specification as follows:

On pages 4 and 5, please replace the paragraph beginning, "Accordingly, in a first aspect" with the following paragraph:

--Accordingly, in a first aspect, the invention covers a composition comprising: (a) a saponin; and (b) an oligonucleotide comprising at least one unmethylated CpG dinucleotide. Preferably, the composition provides that the saponin is derived from *Quillaja saponaria*, and more preferably, the saponin is chemically modified or comprises a substantially pure saponin. In a preferred embodiment of the first aspect, the substantially pure saponin comprises QS-7, QS-17,

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